### Notice Pursuant to Bankruptcy Rule 3001

## UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

In re:

Lehman Brothers Holdings Inc.

Case No.: <u>08-13555 (JMP</u> Court ID (Court Use Only)

## NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

Name of Transferee:	Name of Transferor:		
Clientis Zürcher Regionalbank Genossenschaft	UBS AG		
Notices to Transferee should be sent to: Clientis Zürcher Regionalbank Genossenschaft Bahnhofstrasse 3 8620 Wetzikon, Switzerland Attn: Karin Leimgruber	Court Record Address of the Transferor: (Court use only)		
PHONE ++41 44 933 54 42 EMAIL karin.leimgruber@zrb.clientis.ch			
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor UBS AG Bahnhofstrasse 45 8001 Zurich, Switzerland Attn: Mr. Hugo Koller +41 44 235 37 36 - hugo.koller@ubs.com		
Amount of Claim Being Transferred:			
EUR 7'000.00 (face amount of securities)	NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS		
Court Claim No. (if known): 59233			
Date Claim Filed: October 30, 2009			

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:

Christian Stucki, authorized representative

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 &3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

Date:

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### **Evidence of Transfer**





TO:

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy

Court")

One Bowling Green

New York, New York 10004 Attention: Clerk of the Court

AND TO:

Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)

Proof of Claim Number: 59233 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

This Evidence of Transfer of Claim relates <u>solely</u> to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
7% K-I RC Lehman Bros Treasury BV 2007-30.10.2008	XS0248142894	LBT BV	LBH Inc.	EUR 7'000.00 out of EUR 5'087'000.00

The aggregate amount of the Transferred Claim is to be determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.

 $\textbf{UBS AG, ("} \underline{\text{Transferor}}\text{"}) \text{ hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:}$ 

### Clientis Zürcher Regionalbank Genossenschaft

Bahnhofstrasse 3 8620 Wetzikon, Switzerland Attn: Karin Leimgruber PHONE ++41 44 933 54 42 EMAIL karin.leimgruber@zrb.clientis.ch

("<u>Transferee</u>") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court



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may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated February 22, 2013.

**UBS AG** 

Transferor

By:

Name: Hugo Koller Title: Director

Bv:

Name: Jean-Claude Besson Title: Associate Director

ACKNOWLEDGED BY:

Clientis Zürcher Regionalbank Genossenschaft

Transferee

Ву: \_

Name: Christian Stucki

Title: Authorized representative





Entris Operations AG, Mattenstrasse 8, CH-3073 Gümligen

registered mail

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076 United States

Contact: Marco Gnägi T +41 31 950 73 16, F +41 31 950 76 51 marco.gnaegi@entris.ch Gümligen, March 11<sup>th</sup>, 2013

In re: Lehman Brothers Holdings Inc.

Dear Sir or Madam

Please find attached one notice of transfer of claims

ISIN XS0248142894 Transferee: Clientis Zürcher Regionalbank Genossenschaft

Transferor: UBS AG

If you have any questions, please do not hesitate to contact us. We thank you in advance for your cooperation.

Yours faithfully

**Entris Operations AG** 

Hanspeter Friedli

Abteilungsleiter Anlegen

Service

Marco Gnägi

Teamleiter Anlegen Corporate

Actions

DIE POST " Stand 2

Gümligen

11.03.13 CH-3073

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Entris Operations AG, Mattenstrasse 8, CH-3073 Gümligen

registered mail

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

United States

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